



SRL:SEC:SE:2022-23/67

January 19, 2023

The Manager Listing Department, National Stock Exchange of India Limited Exchange Plaza, 5th Floor Plot No. C/1, G-Block Bandra-Kurla Complex Bandra (East), <u>Mumbai – 400 051</u> **(Symbol: SPENCERS)** The General Manager Department of Corporate Service, BSE Limited Phiroze Jeejeebhoy Tower Dalal Street <u>Mumbai – 400 001</u> (Scrip Code: 542337)

Dear Sir/Madam,

Sub:- Shareholding pattern for the quarter ended December 31, 2022

Pursuant to Regulation 31 of SEBI (Listing Obligation and Disclosure Requirement) Regulations, 2015, we are enclosing herewith the shareholding pattern of the Company for the quarter ended December 31, 2022.

You are requested to kindly take the aforementioned information in record and oblige.

Thanking you, For Spencer's Retail Limited

Neelesh Bothra Chief Financial Officer

Encl.: As Above

<u>Spencer's Retail Limited</u> Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Name of	Listed Entity: Spencer's Retail Limited	
Scrip Coc	e/Name of Scrip/Class of Security: BSE-542337 AND NSE-SPENCERS/ Equity Shares	
Share Ho	lding Pattern Filed under: Reg. 31(1)(b) for the quarter ended December 31, 2022	
Declarati	on: The Listed entity is required to submit the following declaration to the extent of submission of information:-	
Sl. No.	Particulars	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No
4	Whether the Listed Entity has any shares in locked-in?	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No
6	Whether Company has equity shares with differential voting rights?	No
7	Whether the listed entity has any significant beneficial owner?	No

Spencer's	Retail Limited																	
Table I - Su	ummary Statement holding of spe	cified securitie	s															
		Nos. of	No. of fully	No. of Partly paid-up	No. of shares	Total nos.	Shareholding as a % of total no. of shares	Number of V	oting Rights/ securiti	held in each c es	lass of	No. of Shares Underlying Outstanding	Shareholding , as a % assuming full conversion of convertible	Number	r of Locked hares	pleo oth	er of Shares dged or Ierwise Imbered	Number of equity shares
Category	Category of shareholder	shareholders	paid up equity shares held	equity shares	underlying Depository Receipts	shares held	(calculated as per SCRR,	No o	f Voting Righ	ts	Total as a % of	convertible securities (including	securities (as a percentage of	No. (a)	As a % of total	No. (a)	As a % of total	held in dematerialised form
				held	Receipts		1957)	Class eg: X	Class eg: y	Total	(A+B+C)	(including Warrants)	diluted share capital)		Shares held(b)		Shares held(b)	Iorm
(I)	(11)	(111)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)		(IX)		-	(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)	((XIII)	(XIV)
(A)	Promoter & Promoter Group	14	5,30,08,514	-	-	5,30,08,514	58.81	5,30,08,514	-	5,30,08,514	58.81	-	58.81	-	-	-	-	5,30,08,514
(B)	Public	61,303	3,70,03,495	-	-	3,70,03,495	41.05	3,70,03,495	-	3,70,03,495	41.05	-	41.05	-	-	-	-	3,62,72,052
(C)	Non Promoter - Non Public			-	-				-			-		-	-	-	-	
(C1)	Shares Underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(C2)	Shares Held By Employee Trust	1	1,20,000	-	-	1,20,000	0.13	1,20,000	-	1,20,000	0.13	-	0.13	-	-	-	-	1,20,000
	Total	61,318	9,01,32,009	-	-	9,01,32,009	100.00	9,01,32,009	-	9,01,32,009	100.00	-	100.00	-	-	-	-	8,94,00,566

Table	II - Statement showing shareholding pattern	n of the Promoter	and Pr	romoter Group															
				Nos. of	No. of fully	Partly paid-up	No. of shares	Total nos.	Shareholding % calculated	Number of	Voting Right securi	ts held in each o ities	lass of	No. of Shares Underlying Outstanding	Shareholding , as a % assuming full conversion of		r of Locked shares	Number of Shar pledged or otherwise encumbered	es Number of equity shares
il. No.	Category & Name of the shareholders	Entity Type	PAN	shareholders	paid up equity shares held	equity shares held	underlying Depository Receipts	shares held	as per SCRR, 1957 As a % of (A+B+C2)	No	of Voting Rig	shts	Total as a % of Total	convertible securities (including	convertible securities (as a percentage of	No. (a)	As a % of total Shares	As a % total No. (a)	dematerialised
										Class eg: X	Class eg: y	Total	Voting Rights	Warrants)	diluted share capital)		held(b)	held(b	ı
	(1)		(11)	(111)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)		(IX	:)		(X)	(XI)= (VII)+(X) As a % of (A+B+C2)		XII)	(XIII)	(XIV)
1	Indian																		
(a)	Individuals / Hindu Undivided Family			5	1,93,265	-	-	1,93,265	0.21	1,93,265	-	1,93,265	0.21	-	0.21	-	-	-	- 1,93,26
	Sanjiv Goenka	Promoter		1	91,659	-	-	91,659	0.10	91,659	-	91,659	0.10	-	0.10		-	-	- 91,65
	Shashwat Goenka	Promoter Group		1		-	-	75,756	0.08	75,756	-	75,756	0.08	-	0.08		-	-	- 75,75
	Preeti Goenka	Promoter Group		1	,	-	-	17,150	0.02	17,150	-	17,150	0.02	-	0.02	-	-	-	- 17,15
	Sanjiv Goenka Huf	Promoter Group		1	-,	-	-	8,360	0.01	8,360	-	8,360	0.01	-	0.01	-	-	-	- 8,36
	Avarna Jain	Promoter Group		1	340	-	-	340	0.00	340	-	340	0.00	-	0.00	-	-	-	- 34
(b)	Central Government / State Government(s)			-	-	-		-	-	-	-	-	-	-	-	-	-	-	-
(c)	Financial Institutions / Banks			-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Any Other (Specify)			9	5,28,15,249	-	-	5,28,15,249	58.60	5,28,15,249	-	5,28,15,249	58.60	-	58.60		-	-	- 5,28,15,24
	Bodies Corporate			9	5,28,15,249	-	-	5,28,15,249	58.60		-	5,28,15,249	58.60	-	58.60		-	-	- 5,28,15,24
	Rainbow Investments Limited	Promoter		1	3,96,04,042	-	-	3,96,04,042	43.94	3,96,04,042	-	3,96,04,042	43.94	-	43.94		-	-	- 3,96,04,04
	Stel Holdings Limited	Promoter Group		1	43,96,082	-	-	43,96,082	4.88	43,96,082	-	43,96,082	4.88	-	4.88		-	-	- 43,96,08
	Integrated Coal Mining Limited	Promoter Group		1	24,56,247	-	-	24,56,247	2.73	24,56,247	-	24,56,247	2.73	-	2.73		-	-	- 24,56,24
	Castor Investments Limited	Promoter Group		1	23,90,661	-	-	23,90,661	2.65	23,90,661	-	23,90,661	2.65	-	2.65		-	-	- 23,90,66
	Quest Capital Markets Limited	Promoter Group		1	17,41,508	-	-	17,41,508	1.93	17,41,508	-	17,41,508	1.93	-	1.93		-	-	- 17,41,50
	PCBL Limited	Promoter Group		1	11,46,613	-	-	11,46,613	1.27	11,46,613	-	11,46,613	1.27	-	1.27		-	-	- 11,46,61
	Saregama India Limited	Promoter Group		1	10,50,590	-	-	10,50,590	1.17	10,50,590	-	10,50,590	1.17	-	1.17		-	-	- 10,50,59
	Dotex Merchandise Private Limited	Promoter Group		1	28,107	-	-	28,107	0.03	28,107	-	28,107	0.03	-	0.03		-	-	- 28,10
	Lebnitze Real Estates Private Limited	Promoter Group		1	1,399	-	-	1,399	0.00	1,399	-	1,399	0.00	-	0.00	-	-	-	- 1,39
	Kolkata Metro Networks Limited	Promoter Group		-	-	-		-	-	-	-	-	-	-	-	-	-	-	-
	Sub Total (A)(1)			14	5,30,08,514	-		5,30,08,514	58.81	5,30,08,514		5,30,08,514	58.81	-	58.81		-	-	- 5,30,08,51
2	Foreign Individuals (Non-Resident Individuals /						-			1									
(a)	Foreign Individuals (Non-Resident Individuals /																		
(b)	Foreign Individuals) Government			-	-	-		-	-	-	-	-	-	-			-	-	
(D) (C)	Institutions	-		-	-			-	-	-		-	-	-			-	-	-
(c) (d)	Foreign Portfolio Investor			-	-	-		-	-	-	-	-	-	-		-	-		
(e)	Any Other (Specify)			-	-	-		-	-	-		-	-			-	-		
(e)	Sub Total (A)(2)			-	-	-		-		-		-	-	-			-		1
	Total Shareholding Of Promoter And			-	-	-		-	-	-		-	-			-	-	-	
	Promoter Group (A)= (A)(1)+(A)(2)			14	5.30.08.514			5.30.08.514	F0 01	5.30.08.514		5.30.08.514	58.81		58.81				- 5.30.08.51

Spencer's Retail Limited

Annexure to Table II- Details of Shares which remain unclaimed for Promoter & Promoter Group

		Details of Shares which remain uncl	aimed for Promoter & Promoter	Group
Serial No.	Number of shareholders	Outstanding shares held in demat or unclaimed suspense account	Voting rights which are frozen	Disclosure of notes on shares which remain unclaimed for public shareholders
			NIL	

	r's Retail Limited																					
Table II	I - Statement showing shareholding pattern of the	Public	shareholder																			
				No. of fully paid	Partly paid-up	No. of shares		Shareholding % calculated	Number of	Voting Right securi	s held in each ties	class of	No. of Shares Underlying Outstanding	Shareholding , as a % assuming full conversion		r of Locked shares	Shares poth	nber of pledged or erwise mbered	Number of equity shares	Sub-cate	gorization	of shares
SI. No.	Category & Name of the shareholders	PAN	Nos. of shareholders	un equity shares		underlying Depository	Total nos. shares held	as per SCRR, 1957 As a % of	No	of Voting Rig	hts	Total as a % of	convertible	of convertible securities (as a		As a % of total		As a % of total	held in dematerialised	Shareho	lding(No. c under	of shares)
					held	Receipts		(A+B+C2)	Class eg: X	Class eg: y	Total	Total Voting Rights	(including Warrants)	diluted share capital)	No. (a)	Shares held(b)	No. (a)	Shares held(b)	form	Sub- category (i)	Sub- category (ii)	Sub- category (iii)
	()	(11)	(111)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)		(IX)	1	(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	((XII)	()	XIII)	(XIV)		(XV)	
	Institutions (Domestic)																				 	<u> </u>
	Mutual Fund		6	3,41,671	-	-	3,41,671	-	3,41,671	-	3,41,671	-	-	-	-			-	3,40,030	-		·
	Venture Capital Funds		-	-	-	-	-	-	-	-	-	-	-	-	-			-	-			<u> </u>
	Alternate Investment Funds		-	-	-	-	-	-	-	-	-	-	-	-	-			-	-		—	<u> </u>
1.7	Banks		17			-	3,706	-	3,706		3,706		-	-	-			-	401			
	Insurance Companies		6			-	17,96,246	1.99	17,96,246		17,96,246			1.99				-	17,96,126	-		·
	Life Insurance Corporation Of India		1	13,26,769	-	-	13,26,769	1.47	13,26,769	-	13,26,769	1.47	-	1.47	-	-		-	13,26,769		└──	<u> </u>
	Provident Funds/ Pension Funds		-		-	-	-	-	-	-	-	-	-	-	-			-	-		L	<u> </u>
	Asset Reconstruction Companies		-		-	-	-	-	-	-	-	-	-	-	-	-		-	-		L	
	Sovereign Wealth Funds		-		_	-	-	-	-	-	-	-	-	-	-	-		-	-		\vdash	
	NBFCs registered with RBI		2			-	2,820	-	2,820		2,820		-	-	-		· -	-	2,820	-	-	
	Other Financial Institutions		2	1,380	-	-	1,380	-	1,380	-	1,380	-	-	-	-		-	-	-	-		
(k)	Any Other (Specify)		-		-	-	-	-	-	-	-	-	-	-	-			-	-			
	Sub Total (B)(1)		33	21,45,823	-	-	21,45,823	2.38	21,45,823	-	21,45,823	2.38	-	2.38	-	-		-	21,39,377	-		
2	Institutions (Foreign)																-	-				
(a)	Foreign Direct Investment		-	-	-	-	-	-	-	-	-	-	. _	-	-			-	-			
(b)	Foreign Venture Capital Investors		-	-	-	-	-	-	-	-	-	-	. _	-				-	-			
(c)	Sovereign Wealth Funds		-	-	-	-	-	-	-	-	-	-	- -	-				-	-			
(d)	Foreign Portfolio Investors Category I		16	71,87,902	-	-	71,87,902	7.97	71,87,902	-	71,87,902	7.97	-	7.97	-			-	71,87,902	-	-	
	India Insight Value Fund		1	30,60,000	-	-	30,60,000	3.40	30,60,000	-	30,60,000	3.40	-	3.40	-		-	-	30,60,000			
	Habrok India Master Lp		1	15,53,698	-	-	15,53,698	1.72	15,53,698	-	15,53,698	1.72	-	1.72	-	-		-	15,53,698			
	Esvee Capital		1	10,00,000	-	-	10,00,000	1.11	10,00,000	-	10,00,000	1.11	-	1.11		-		-	10,00,000			
	Foreign Portfolio Investors Category II		-		-	-	-	-	-	-	-	-		-				-	-			
(f)	Overseas Depositories(holding DRs) (balancing figure)		_		_	_	-	-		_	-			-	-			_	-			
	Any Other (Specify)		14	13,304	-	-	13,304	0.01	13,304	-	13,304	-	-	-	-			-	554	-	· ·	
	Foreign Institutional Investors		9		-	-	3,720	0.00	3,720	-	3,720	-	-	-	-			-	-	-	· ·	
	Foreign Bank		5			-	9,584	0.01	9,584		9,584			-				-	554	-	· ·	
	Sub Total (B)(2)		30			-	72,01,206	7.99	72,01,206		72,01,206		-	7.99	-			-	71,88,456		-	
	Central Government/ State Government(s)																-	-				
	Central Government / President of India		-		-	-	-	-	-	-	-	-	-	-	-			-	-			
	State Government / Governor		2	6,301	-	-	6,301	0.01	6,301	-	6,301	-	-	0.01				-	5,010	-	· ·	
(C)	Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter				_					_		_						_				
	Sub Total (B)(3)		2	6,301	-	-	6,301	0.01	6,301	-	6,301	-		0.01	-			-	5,010	-	· ·	
	Non-Institutions			-	-	-	-	-		-	-	-		-	-			-				1
	Associate companies / Subsidiaries		-		-	-	-	-	-	-	-	-	-	_				_	-			1
(b)	Directors and their relatives (excluding Independent Directors and nominee Directors)				_	_					_	_					_					
	Key Managerial Personnel		-	-		-	-	-	-		-		-	-	<u> </u>	-	+ -		-	-	<u> </u>	+

(D)	Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	 -	-			
(E)	Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee','beneficiary', or 'author of the trust''	-	-	-	-	-	-	-	-	-	-	-	-	-		 -	-			
(f)	Investor Education and Protection Fund (IEPF)	1	99,992	-		99,992	0.11	99,992	-	99,992	-		0.11	-		 -	99,992	-	-	
(g)	i. Resident Individual holding nominal share capital up to Rs. 2 lakhs.	57,985	1,68,74,671	-		1,68,74,671	18.72	1,68,74,671	-	1,68,74,671	18.72	-	18.72	-		 -	1,65,12,863	-	-	
(h)	ii. Resident individual holding nominal share capital in excess of Rs. 2 lakhs.	42	39,71,623		-	39,71,623	4.41	39,71,623	-	39,71,623	4.41	-	4.41	-		 -	39,71,623	-	-	
(i)	Non Resident Indians (NRIs)	1,091	9,61,362	-	-	9,61,362	1.07			9,61,362	1.07	-	1.07	-		 -	7,08,340	-	-	
(j)	Foreign Nationals	8	32,687	-	-	32,687	0.04			32,687	-	-	0.04	-		 -	10,127	-	-	
(k)	Foreign Companies	28	58,220	-	-	58,220	0.06	58,220	-	58,220	-	-	0.06	-		 -	_	-		
(I)	Bodies Corporate	516	37,73,112	-	-	37,73,112	4.19	37,73,112	-	37,73,112	4.19	-	4.19	-	-	 -	37,57,865	-		
	Ginni Finance Private Limited	1	9,28,000	-	-	9,28,000	1.03	9,28,000	-	9,28,000	1.03	-	1.03	-		 -	9,28,000			
(m)	Any Other (Specify)	1,567	18,78,498	-	-	18,78,498	2.08	18,78,498	-	18,78,498	2.08	-	2.08	-		 -	18,78,399	-	-	
	Trusts	7	1,481	-	-	1,481	0.00	1,481	-	1,481	-	-	0.00	-		 -	1,481	-	-	
	Body Corp-Ltd Liability Partnership	29	6,91,909	-	-	6,91,909	0.77	6,91,909	-	6,91,909	0.77	-	0.77	-		 -	6,91,909	-	-	
	Hindu Undivided Family	1,507	10,58,701	-	-	10,58,701	1.17	10,58,701	-	10,58,701	1.17	-	1.17	-	-	 -	10,58,602	-		
	Unclaimed Shares	1	78,066	-	-	78,066	0.09	78,066	-	78,066	-	-	0.09	-	-	 -	78,066	-	-	
	Clearing Member	23	48,341	-	-	48,341	0.05	48,341	-	48,341	-	-	0.05	-		 -	48,341	-		· -
	Sub Total (B)(4)	61,238	2,76,50,165	-	-	2,76,50,165	30.68	2,76,50,165	-	2,76,50,165	30.68	-	30.68	-	-	 -	2,69,39,209	-		
	Total Public Shareholding (B)=																			
	(B)(1)+(B)(2)+(B)(3)+B(4)	61,303	3,70,03,495	-	-	3,70,03,495	41.05	3,70,03,495	-	3,70,03,495	41.05	-	41.05	-		 -	3,62,72,052	-		· -

Spencer's Retail Lim	ited		
Annexure to Table I	II- A- Details of the shareho	olders acting as persons i	n Concert for Public
Details of the share	nolders acting as persons in	n Concert for Public	
Serial No.	Name of the PAC	No of Shares	Percentage of shareholding by PAC
		NIL	

Spencer's	Retail Limited		
Annexure	to Table III- B Details of Shares which rem	ain unclaimed for Public alon	g with such details such as number of shareholders,
outstandi	ng shares held in unclaimed suspense acco	unt, voting rights which are	frozen etc.
Details of	Shares which remain unclaimed for Public		
Sl.No.	Description	Number of Shareholders	Outstanding shares held in demat or unclaimed
51.140.	Description	Number of Shareholders	suspense account
1	Unclaimed Shares in Suspense Account	1	78066

Spence	er's Retail Limited																		
Table I	V - Statement showing shareholding pattern of the No	on Pro	moter- Non Put	olic sharehole	der														
				No. of fully paid up	Partly paid-up	No. of shares		Shareholding % calculated	Number of	Voting Right securi		ch class of	No. of Shares Underlying Outstanding	Shareholding , as a % assuming full conversion of		mber of d in shares	Share or ot	nber of s pledged herwise mbered	Number of equity shares
SI. No.	Category & Name of the shareholders	PAN	Nos. of shareholders	equity shares held	equity shares held	underlying Depository Receipts	Total nos. shares held	as ner SCRR		of Voting Rig Class eg: y		Total as a % of (A+B+C)	convertible securities (including Warrants)	convertible securities (as a percentage of diluted share		As a % of total Shares held(b)		As a % of	hald in
														capital)		neiu(b)		neiu(b)	
	(1)	(11)	(111)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)		(IX)		(X)	(XI)= (VII)+(X) As a % of (A+B+C2)		(XII)		XIII)	(XIV)
1	Custodian/DR Holder		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share based Employee Benefits and																		
2	Sweat Equity) Regulations, 2021		1	1,20,000	-	-	1,20,000	0.13	1,20,000	-	1,20,000	0.13	-	0.13	-	-	-	-	1,20,000
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	1,20,000	-	-	1,20,000	0.13	1,20,000	-	1,20,000	0.13	-	0.13	-	-	-	-	1,20,000

Spencer	's Retail	Limit	ed						
Table V	- Staten	nent sl	howing detail	s of signific	ant benef	icial owners			
	Detail o	•		Detail of F	Registered	Owner -II		s in which significant beneficial	Data of grantian (
	Benefic		vner- I				interest is held by b		Date of creation /
Sr. No.	Name	PAN	Nationality	Name	PAN	Nationality	Number of shares	Shareholding as a % of total no. of	acquisition of significant beneficial interest (IV)
	•						NIL		-

able VI - Statement showing foreign ownership limits		
Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	50.00	9.16
As on the end of previous 1st quarter	50.00	9.11
As on the end of previous 2nd quarter	50.00	8.83
As on the end of previous 3rd quarter	50.00	8.66
As on the end of previous 4th quarter	50.00	8.92

Notes :-

1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities

2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.